

Exploring Bayesian models to evaluate control procedures for plant disease

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Abstract

Tigernut tubers are the main ingredient in the production of *orxata* in Valencia, a white soft sweet popular drink. In recent years, the appearance of black spots in the skin of tigernuts has led to important economic losses in *orxata* production because severely diseased tubers must be discarded. In this paper, we discuss three complementary statistical models to assess the disease incidence of harvested tubers from selected or treated seeds, and propose a measure of effectiveness for different treatments against the disease based on the probability of germination and the incidence of the disease. Statistical methods for these studies are approached from Bayesian reasoning and include mixed-effects models, Dirichlet-multinomial inferential processes and mixed-effects logistic regression models. Statistical analyses provide relevant information to carry out measures to palliate the black spot disease and achieve a high-quality production. For instance, the study shows that avoiding affected seeds increases the probability of harvesting asymptomatic tubers. It is also revealed that the best chemical treatment, when prioritizing germination, is disinfection with hydrochloric acid while sodium hypochlorite performs better if the priority is to have a reduced disease incidence. The reduction of the incidence of the black spots syndrome by disinfection with chemical agents supports the hypothesis that the causal agent is a pathogenic organism.

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1. Introduction

Tigernuts growing has become an important sector of agribusiness in Valencia (Spain). Tigernut tubers are mostly used for the production of *orxata*, a white soft sweet drink

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highly appreciated in Spain (Morell and Barber, 1983). The trade around *orxata* has expanded over the past few years but it has been also strongly affected by the appearance of black spots in the skin of tigernuts, making it crucial to figure out how to palliate its negative effects.

Epidemiological data and some greenhouse experiments (unpublished) suggest that the syndrome of black spots could be a disease caused by an unidentified pathogenic organism, which prevent to devise specific strategies to eradicate or control the disease. This lack of information and the difficulties in identifying the aetiology of the disease suggest assaying several general methods of disease control based on selecting pathogen-free seeds or removing the pathogens from seeds by thermal or chemical treatments which have been successfully applied to known pathogenic species of viruses, bacteria and fungi and different crops (Shepard and Clafin, 1975; Sauer and Burroughs, 1986; Grondeau et al., 1994), including tigernuts (García-Jiménez et al., 2004). Moreover, the possible adverse effects in humans are not known so severely diseased tubers are automatically discarded, and only asymptomatic and mildly affected tubers are marketable.

Chemical or thermal treatments can have a detrimental effect on seed germination, which causes yield reduction. Thus, the effectiveness of these methods must be assessed not only by considering the effect on the disease incidence but also on the germination. We evaluated two methods of disease control: i) selection of non-infected tubers used as seeds, and ii) chemical or/and thermal treatments (alone or combined) of infected seeds in order to remove or kill the pathogen. However, for method i), since the pathogen cannot be properly identified and it is not possible to detect pathogen-free seeds, the selection is based on the use of asymptomatic (without black spots) tubers. These seeds could contain the pathogen, although in lower quantities than those severely affected (with black spots covering the whole surface).

The aim of this paper is to gain insight into the transmission of the disease from the tubers used as seed to the progeny of tubers, as well as the procedures for disease control. To the best of our knowledge, this is the first paper devoted to study the black spot disease in tigernuts from a statistical point of view. The structure of this paper is as follows. Section 2 presents two experimental studies specifically designed for the problem. Section 3 discusses the statistical modelling of the data. In particular, Subsections 3.1 and 3.2 analyse the weight of tubers harvested from symptomatic and asymptomatic seeds, and the disease transmission through a mixed-effects model based on the lognormal distribution and the Dirichlet-multinomial inferential process, respectively. Subsection 3.3 deals with effectiveness of different treatments against disease in terms of mixed-effects logistic regression models and a measure of effectiveness which takes into account the germination process and the level of affection of the disease. Conclusions and further remarks are given in Section 4.

2. Experiments and data

Two different greenhouse experiments were designed and carried out with the objective of learning about the black spot disease in tigernuts. *Experiment 1* was aimed at studying the transmission of the disease from seed to the harvested tubers, and *Experiment 2* at analysing the consequences of different treatments against disease.

Experiment 1: Asymptomatic and severely affected seeds were selected and sowed in seven separated pots with five seeds per pot. Five months later, sowing tubers were harvested. The average weight of a tuber (in grams) and the number of asymptomatic tubers (no black spots), with mild symptoms (few small black spots), and severe symptoms (tuber almost completely covered by black spots) in each pot were recorded (see Figure 1).

Experiment 2: Chemical and thermal treatments were applied to severely affected seeds following a balanced two-factor factorial design. The specific combination of both types of treatments is denoted by T_{qt} , where subscripts q and t represent chemical and thermal treatment, respectively. Chemical treatments tested were: no treatment ($q = 1$); disinfection with sodium hypochlorite ($q = 2$); disinfection with hydrochloric acid ($q = 3$); treatment with trifloxystrobin, an active fungicide against a wide range of fungal plant pathogens ($q = 4$); application of a plant defence activator ($q = 5$); and disinfection with trisodium phosphate ($q = 6$). In the case of thermal treatments: no treatment ($t = 1$); incubation in water at 55°C for 30 min ($t = 2$); and 60°C for 30 min ($t = 3$). Eight pots were sowed with five seeds each for every T_{qt} treatment. Germination rate was estimated from the number of seedlings emerged in each pot during the next two weeks. About five months later tubers were harvested and the number of marketable (asymptomatic and mildly diseased) and severely diseased tubers in each pot were registered.

Table 1 summarizes the data from *Experiment 1* together with those from *Experiment 2* corresponding to the absence of chemical and thermal treatment. We joined the data from both experiments because they were independent and shared a common scenario. There seems to be no great differences in the mean and standard deviation of the unit weight of tubers of both groups. However, there are considerable differences in the proportion of tubers in each level of disease infection. It is important to emphasise the strong relationship between tubers and seeds severely affected.



Figure 1: Tigernuts tubers with different levels of symptoms: asymptomatic, mild, and severe.

Table 1: Mean and standard deviation of the unit weight of tubers (in grams) and proportion of asymptomatic tubers, with mild and severe symptoms from asymptomatic and severely affected seeds.

Seeds	Unit-weight		Tubers		
	Mean	Sd	Asymptomatic	Mild	Severe
Asymptomatic	0.424	0.0846	0.698	0.228	0.074
Severe	0.409	0.0689	0.003	0.176	0.821

Table 2 shows the proportion of marketable tubers harvested and of germinated seeds with regard to each particular chemical and thermal treatment. The thermal treatment at 60°C for 30 min, independently of the chemical treatment, dramatically reduced the germination rate. Notice that no data were collected for T_{33} since no seeds germinated.

Table 2: Proportion of marketable harvested tubers and of germinated seeds (in brackets) for each treatment.

Chemical treatment	Thermal treatment		
	No treatment	55°C for 30 min	60°C for 30 min
No treatment	0.151 (0.425)	0.423 (0.275)	0.474 (0.025)
Disinf. with sodium hypochlorite	0.403 (0.450)	0.373 (0.275)	0.552 (0.075)
Disinf. hydrochloric acid	0.228 (0.625)	0.136 (0.225)	—
Fungicide	0.209 (0.475)	0.148 (0.275)	0.552 (0.050)
Activator plant defense	0.388 (0.375)	0.359 (0.375)	0.191 (0.025)
Disinf. with trisodium phosphate	0.257 (0.325)	0.404 (0.150)	0.123 (0.050)

3. Statistical modelling

Bayesian inference always expresses uncertainty about the quantities of interest and experimental results in probabilistic terms. Bayes' theorem combines the prior distribution and the likelihood function of the data to obtain the posterior distribution, which contains all relevant information of the problem. This distribution was not analytical in all studies of the paper except for the analysis in Subsection 3.2. In those studies the subsequent posterior distribution was approximated by Markov chain Monte Carlo (MCMC) methods (Gelman et al., 2013) using the software WinBUGS (Lunn et al., 2000). In all these inferences, the MCMC algorithm was run for three Markov chains with 100 000 iterations each after a burn-in period of 1 000. The chains were thinned by only storing every 5th iteration in order to reduce auto-correlation in the saved sample. Trace plots of the simulated values of the three chains always appeared overlapping one another indicating stabilization. Convergence of the chains to the posterior distribution was assessed through the potential scale reduction factor, *Rhat*, and the effective sample size, *neff* (Kass et al., 1998). In all cases, the *Rhat* values were equal to or near 1 and *neff* > 100, thus indicating that the distributions of the simulated values between and within

the three chains were practically identical, and also that sufficient MCMC samples had been obtained, respectively.

3.1. Weight of tubers

The average unit-weight, in grams, of tubers harvested in each pot from asymptomatic and from severely affected seeds is a positive and continuous variable. It would be better for the statistical analysis to have known the individual weight of each tuber of each pot, but this information was not recorded in the experiment.

That variable (Y from now on) can be approached by several simple models (Ntzoufras, 2009) which share the common structure $(Y | \boldsymbol{\theta}) \sim f_y(\boldsymbol{\theta})$, where f_y can vary among different distributions – chi-squared, exponential, gamma, inverse-gamma, log-normal, and Weibull – with parametric vector $\boldsymbol{\theta}$ that may depend on both covariates or factors, as for example the treatment group in our study, and random effects for assessing the specific pot individual effect.

We used the deviance information criterion (DIC) for selecting the most appropriate model, the smaller the DIC the better the fit. Table 3 shows the DIC value for each model pointing out the lognormal (LN) model as slightly better than the rest.

Table 3: Deviance information criterion values of various models for the mean tuber-weight.

Model	Chi-squared	Exponential	Gamma	Inverse-Gamma	Lognormal	Weibull
DIC	36.49	12.22	-48.19	23.45	-50.83	-49.40

The selected mixed-effects model was $(Y_{ij} | \mu_{ij}, \sigma^2) \sim \text{LN}(\mu_{ij}, \sigma^2)$, defined as

$$\begin{aligned}
 Y_{ij} &= \exp(\mu_{ij} + \sigma Z_{ij}), \quad i = 1, \dots, 7 \\
 \mu_{ij} &= \alpha + \beta I_{SD}(i) + b_{ij} \\
 Z_{ij} &\sim \text{N}(0, 1),
 \end{aligned} \tag{1}$$

where Y_{ij} is the average unit-weight of the tubers harvested in pot i of the seed group j , where $j = 1$ stands for asymptomatic seeds and $j = 2$ for severe diseased seeds; α is the common term in μ_{ij} corresponding to asymptomatic seeds and β the additional effect for severely diseased tubers. The indicator function $I_{SD}(i)$ is 1 when tubers from pot i are harvested from severely diseased seeds, and 0 otherwise. Random effects b_{ij} are conditional *i.i.d.* random variables normally distributed with mean zero and variance σ_j^2 , $j = 1, 2$. To complete the Bayesian model we needed to elicit a prior distribution for the parameters and hyperparameters of the model, $(\alpha, \beta, \sigma, \sigma_1, \sigma_2)$. We assumed prior independence among all them as a default scenario, and considered flat prior distributions $\text{N}(0.0, 10000)$ for α and β , where variability in this normal distribution is expressed in terms of the variance, and a gamma distribution, $\text{Ga}(0.01, 0.01)$, for σ , as well as the hyperprior distribution for σ_j .

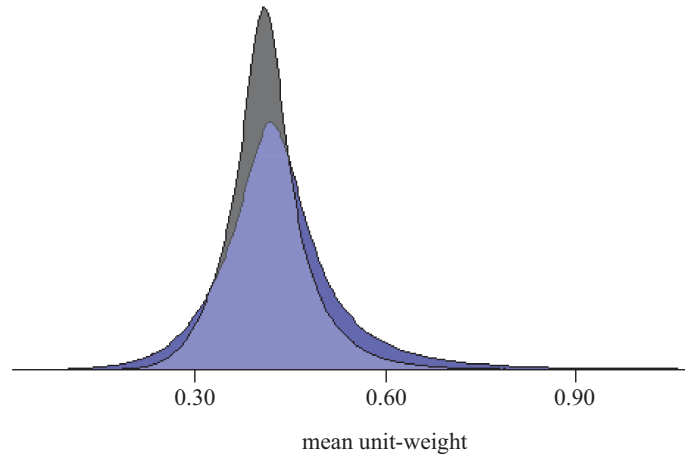


Figure 2: Posterior distribution for the mean of the average unit-weight of tubers harvested from asymptomatic (blue) and severely diseased seeds (dark gray).

The approximated posterior mean of the regression coefficients α and β are negative, in particular -0.877 and -0.031 respectively, with $P(\beta > 0 \mid \mathcal{D}) = 0.3701$. In addition, the posterior mean for the standard deviations σ , σ_1 and σ_2 were $E(\sigma \mid \mathcal{D}) = 0.144$, $E(\sigma_1 \mid \mathcal{D}) = 0.194$, and $E(\sigma_2 \mid \mathcal{D}) = 0.151$, with 95% credible intervals $(0.070, 0.238)$, $(0.069, 0.421)$ and $(0.072, 0.273)$, respectively. Figure 2 shows the posterior distribution for the mean of the average unit-weight, in grams, of tubers harvested from asymptomatic and severely diseased seeds. Notice that a great part of both posterior distributions overlap, which could indicate a non-substantial difference in the weight of the tubers harvested from asymptomatic and affected seeds.

3.2. Seed transmission of the disease

We continue with the analysis of the data from *Experiment 1* together with the ones from *Experiment 2* corresponding to the absence of chemical and thermal treatment. We focused on the probability of obtaining tubers with severe, mild or no symptoms of the disease in each pot with regard to the type of seed, asymptomatic or severely affected, planted. For each type of seed, the response variable was the number of harvested tubers in each level of affection in the different pots harvested, which was modelled in terms of a multinomial distribution. Of course, other modelling would be acceptable, for instance the proportional odds models (Liu and Agresti, 2005) to explore the ordinality of the variable of interest. However, we opted to follow a simplified approach that also captures the experimental goals.

The multinomial distribution, $\text{Multin}(n, \theta_1, \dots, \theta_K)$ (Agresti, 2013) is the probability distribution of the outcomes from a multinomial experiment based on n independent trials, in which each of them can result in one of K mutually exclusive and exhaustive categories. The probability θ_k for each category k does not vary with the data

and $\sum_{k=1}^K \theta_k = 1$. In the same way that the multinomial distribution is a generalization of the binomial distribution, the conjugate prior distribution for $\boldsymbol{\theta} = (\theta_1, \dots, \theta_K)^\top$ is a multivariate generalization of the beta distribution, known as the Dirichlet distribution, $\text{Dirichlet}(\alpha_1, \dots, \alpha_K)$, with joint density function

$$f(\boldsymbol{\theta} | \boldsymbol{\alpha}) = \frac{\Gamma(\alpha_1 + \dots + \alpha_K)}{\Gamma(\alpha_1) \dots \Gamma(\alpha_K)} \theta_1^{(\alpha_1-1)} \dots \theta_K^{(\alpha_K-1)}, \quad \alpha_k > 0, \quad k = 1, \dots, K, \quad (2)$$

where $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_K)^\top$ is the subsequent parametric vector. The combination of a multinomial model and a Dirichlet prior distribution (known as the Dirichlet-multinomial model) was proposed by Lindley (1964) and Good (1965) and results on a Dirichlet posterior distribution for $\boldsymbol{\theta}$ with updated hyper-parameters $\alpha_k + y_k$, $k = 1, \dots, K$, where y_k is the number of trials in category k .

The literature on Bayesian statistics includes various proposals for prior distributions of $\boldsymbol{\alpha}$ with minimum information (Alvares, 2015). Our choice here is $\alpha_k = 1/K$ because it has been shown to be an objective prior (Berger et al., 2015) with the reference distance approach (see also Perks, 1947).

Figure 3 shows the 95% posterior credible intervals for the probability associated to asymptomatic, mild and severe symptoms tubers depending on the health of the seed from which have grown. Notice that for asymptomatic seeds the probability of harvesting asymptomatic tubers (posterior mean 0.698) is greater than the probabilities of collecting tubers with mild (posterior mean 0.228) or severe symptoms (posterior mean 0.074). However, in the group of diseased seeds the situation is the opposite, and the probability of harvesting tubers with severe symptoms (posterior mean 0.821) is greater than the probabilities corresponding to tubers with mild symptoms (posterior mean 0.176) and no symptoms (posterior mean 0.003). It was clear that the selection

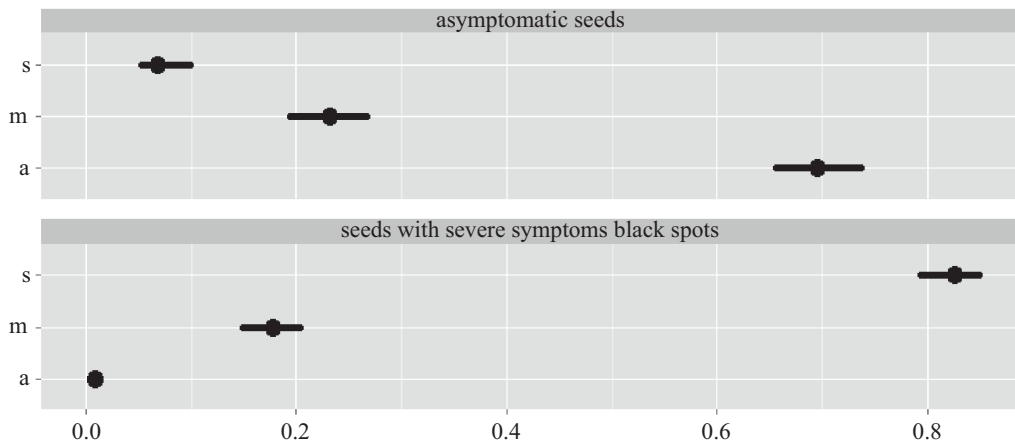


Figure 3: 95% credible interval for the probability associated to asymptomatic (a), mild (m) and severe (s) symptoms tubers harvested from asymptomatic seeds and from seeds with severe symptoms black spots.

of asymptomatic seeds seemed to be beneficial to reduce the prevalence of tubers with black spots.

As an alternative modelling, we have also examined the three-stage hierarchical multinomial model proposed by (Nandram, 1998). It added as a new level in the model the assumption that the hyperparameters from the prior distribution are unknown and come from a general Dirichlet hyperdistribution, formulated in terms of a parametrization based on the marginal mean of each probability and a common weight. As results were practically equal as those obtained from the reference distance approach prior distribution (the only relevant differences occurred in the fourth decimal place), the most simple Dirichlet-multinomial model was preferable to its hierarchical modelling counterpart.

3.3. Comparison of treatments

We discuss the possible benefits of applying a specific treatment to affected tubers before using them as seeds. We used data from *Experiment 2* for analysing the number of marketable tubers harvested and of germinated seeds from each pot through two marginal mixed-effects logistic regression models for each combination of chemical and thermal treatment. Next, we combined both results into a single measure that quantifies the effectiveness of each treatment.

3.3.1. Germination and disease

Let $Y_{1i}^{(qt)}$ the binomial variable that describes for pot i , $i = 1, \dots, 8$, the number of marketable tubers from a total of $N_{1i}^{(qt)}$ collected from severely affected seeds previously treated with chemical treatment q and thermal treatment t , and represent by $\theta_1^{(qt)}$ the subsequent binomial probability. This probability is modelled through the mixed-effects logistic regression model

$$\begin{aligned} Y_{1i}^{(qt)} &\sim \text{Bin}(N_{1i}^{(qt)}, \theta_1^{(qt)}), \\ \text{logit}(\theta_{1i}^{(qt)}) &= \alpha_1 + \beta_1^{(q)} + \lambda_1^{(t)} + \phi_1^{(qt)} + b_{1i}, \end{aligned} \quad (3)$$

where parameter α_1 indicates the effect of neither chemical nor thermal treatment and $\beta_1^{(q)}$, $\lambda_1^{(t)}$, and $\phi_1^{(qt)}$ include the marginal effect of each treatment, chemical or thermal, and its interaction, respectively. Random effects, b_{1i} , associated to pot i are conditional *i.i.d.* random variables, $(b_{1i} | \sigma_{b1}) \sim N(0, \sigma_{b1}^2)$. It is worth mentioning that the number of tubers collected in the different pots have a great level of variability: from 8 to 466, mean 201, median 193.5, and standard deviation 77.36 tubers.

The probability of germination with regard to each treatment T_{qt} considered is also analysed through the mixed-effects logistic regression model

$$Y_{2i}^{(qt)} \sim \text{Bin}(N_{2i}^{(qt)}, \theta_2^{(qt)}),$$

$$\text{logit}(\theta_2^{(qt)}) = \alpha_2 + \beta_2^{(q)} + \lambda_2^{(t)} + \phi_2^{(qt)} + b_{2i}, \tag{4}$$

where now $Y_{2i}^{(qt)}$ is the number of germinated seeds in the i th pot from a total $N_{2i}^{(qt)} = 5$ sowed, $\theta_2^{(qt)}$ the probability of germination, parameters α_2 , $\beta_2^{(q)}$, $\lambda_2^{(t)}$ and $\phi_2^{(qt)}$, and random effects b_{2i} with the same interpretation as in (3) and standard deviation σ_{2b} .

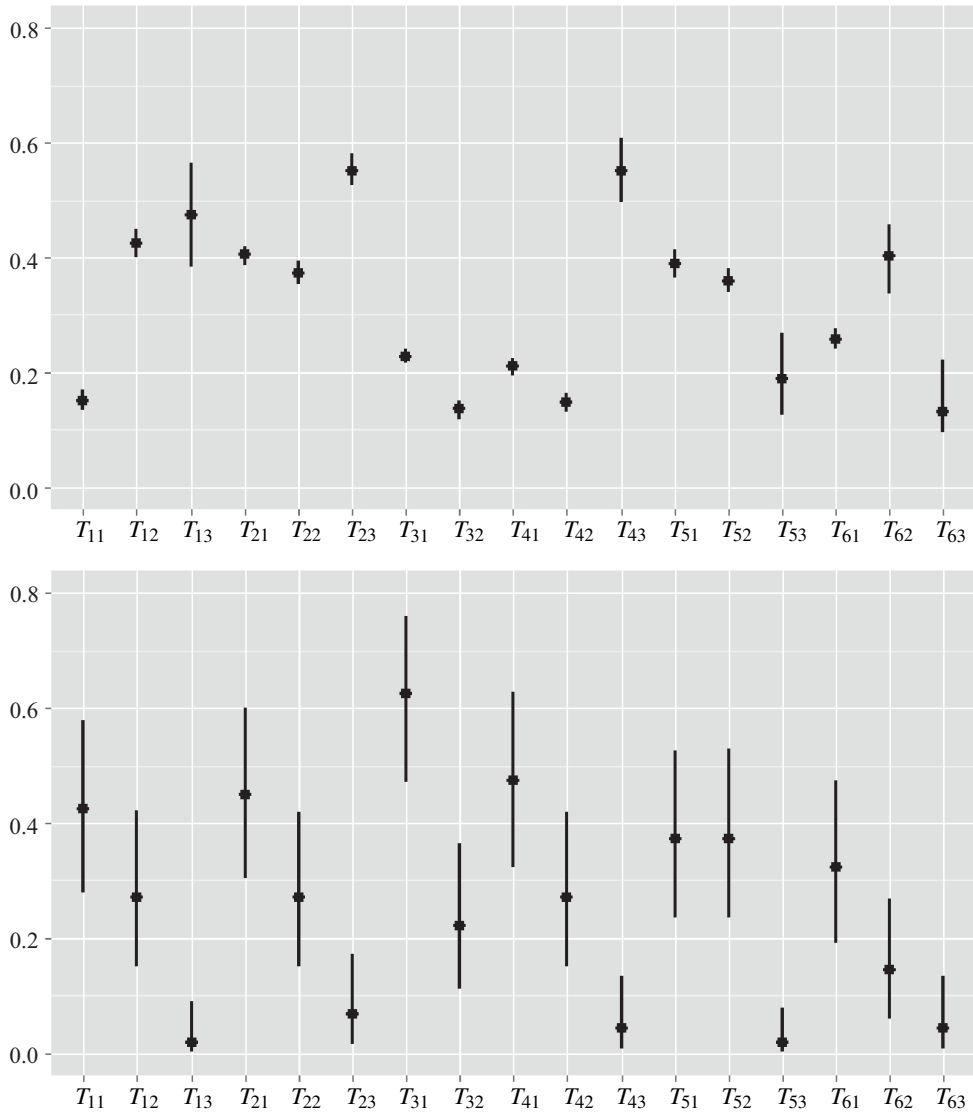


Figure 4: Posterior mean and 95% credible interval for the probability of harvesting asymptomatic tubers from diseased seeds (on top) and for the probability of germination (below) with regard to the previous seed treatment.

We assume prior independence and non-informative normal distributions for the subsequent marginal priors. In particular, we choose $N(0, 10000)$ prior distributions for the α 's, β 's, λ 's, and ϕ 's, and $Ga(0.01, 0.01)$ as the hyperprior for the standard deviation of the random effects. Posterior mean for the standard deviation of the random effects are 2.845 (model 3) and 0.236 (model 4). Figure 4 shows the posterior mean and a 95% credible interval for the probability of harvesting asymptomatic tubers from severely affected seeds (on top) and for the probability of germination (below). Information in both figures is with regard to the different chemical and thermal treatments considered.

Treatments T_{23} and T_{43} , both based on a temperature of 60°C , achieve the best results with regard to the probability of harvesting asymptomatic tubers. Treatment T_{31} and, to a lesser extent, T_{11} , T_{21} , and T_{41} achieve the greatest values for the probability of seed germination. None of them included thermal treatment. It is important to note the great difference between the precision of both types of intervals, as a result of the different number of trials in the binomial variables defined in models 3 and 4.

Table 4: Posterior mean of the measure of effectiveness θ_{eqt} for thermal and chemical treatments and some given values of v (values for the best and worst treatments are in blue and red, respectively).

v	0.2	0.3	0.4	0.5	0.6	0.7	0.8
$1 - v$	0.8	0.7	0.6	0.5	0.4	0.3	0.2
T_{11}	0.370	0.344	0.318	0.292	0.266	0.239	0.213
T_{12}	0.309	0.327	0.344	0.362	0.379	0.397	0.414
T_{13}	0.116	0.161	0.206	0.251	0.296	0.341	0.386
T_{21}	0.455	0.460	0.465	0.470	0.475	0.480	0.485
T_{22}	0.300	0.314	0.328	0.341	0.355	0.369	0.382
T_{23}	0.176	0.226	0.277	0.327	0.378	0.428	0.479
T_{31}	0.549	0.509	0.470	0.431	0.392	0.352	0.313
T_{32}	0.205	0.194	0.183	0.172	0.161	0.151	0.140
T_{41}	0.430	0.406	0.383	0.360	0.337	0.314	0.291
T_{42}	0.254	0.245	0.236	0.227	0.218	0.209	0.201
T_{43}	0.156	0.208	0.261	0.313	0.366	0.418	0.471
T_{51}	0.374	0.375	0.375	0.376	0.377	0.378	0.378
T_{52}	0.362	0.357	0.352	0.348	0.343	0.338	0.333
T_{53}	0.059	0.075	0.092	0.108	0.125	0.141	0.158
T_{61}	0.317	0.312	0.308	0.304	0.299	0.295	0.291
T_{62}	0.188	0.206	0.225	0.243	0.262	0.280	0.299
T_{63}	0.087	0.105	0.123	0.142	0.160	0.178	0.196

3.3.2. Dealing with effectiveness

Chemical and thermal treatments provide antagonistic outputs. Thermal treatments produce good results regarding the incidence of the disease in exchange for a considerable reduction of the probability of germination. Chemical results are not so evident. Follow-

ing the spirit of mixture models (Marin et al., 2005), we define a measure of effectiveness associated to a given combination of treatments (q, t) that weights the incidence of the disease $\theta_1^{(qt)}$ and the probability of germination $\theta_2^{(qt)}$

$$\theta_e^{(qt)} = v\theta_1^{(qt)} + (1 - v)\theta_2^{(qt)}, \quad (5)$$

where v , $0 \leq v \leq 1$, is the weighting constant. This measure of effectiveness $\theta_e^{(qt)}$ is simple, sensible, easy to understand, and apply to take decisions in disease management programs.

Table 4 shows the posterior mean of $\theta_e^{(qt)}$ for each treatment and some elicited values of v . When priority is germination ($v \leq 0.5$), the most effective treatment is T_{31} . If priority is achieving a great proportion of asymptomatic tubers ($v \geq 0.5$), the best option will be T_{21} . The worst results (no matter the value of v) are for T_{53} . Another important information is that thermal treatments, at 55°C and 60°C , drastically reduced germination. In the case of a balanced decision ($v = 0.5$), the best and worst options are treatments T_{21} and T_{53} , respectively.

4. Conclusions

We have used data from two experimental studies designed to analyse the transmission of black spot disease in tigernuts and the effectiveness of different chemical and thermal treatments to control its incidence. Statistical methods include linear mixed models, Dirichlet-multinomial inferential processes and logistic mixed regression models.

The disease seems not to affect the size of the harvested tubers. In addition, it seems practically impossible to harvest asymptomatic tubers from severely affected seeds and highly likely to obtain severely affected tubers. In the case of asymptomatic seeds, about 70% of the tubers remained symptomless, whereas the rest were distributed between mild and severe symptoms with 23% and 7% approximately. It seems important to select asymptomatic seeds to minimize the disease incidence.

Germination and transmission of the disease from seeds to tubers have been discussed for several procedures which combine chemical and thermal treatments in seeds before they are sown. We propose a measure of effectiveness for treatments which allow to balance probability of germination and disease incidence. The results indicate the bad performance of thermal treatments for germination. This is probably due to the high temperature levels considered, thus suggesting the need to perform other experiments with a larger range of temperature levels.

The study also showed that the best chemical treatments when prioritizing germination is hydrochloric acid while sodium hypochlorite performs better if the priority is to have a reduced disease incidence. The low efficacy of the broad-spectrum fungicide treatment suggests that the causal agent of the black spot disease is not a fungus (al-

though some fungi can be resistant to this fungicide). However, the hypothesis that the syndrome of black spots is caused by a pathogenic organism is supported by the disease incidence reduction after seed disinfection with several chemical agents. This is an interesting result that could address future experimental studies about the subject.

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