

## RINGS WHOSE MODULES HAVE MAXIMAL SUBMODULES

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*Dedicated to Laci Fuchs on his 70th birthday*

### *Abstract*

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A ring  $R$  is a **right max ring** if every right module  $M \neq 0$  has at least one maximal submodule. It suffices to check for maximal submodules of a single module and its submodules in order to test for a max ring; namely, any cogenerating module  $E$  of  $\text{mod-}R$ ; also it suffices to check the submodules of the injective hull  $E(V)$  of each simple module  $V$  (Theorem 1). Another test is transfinite nilpotence of the radical of  $E$  in the sense that  $\text{rad}^\alpha E = 0$ ; equivalently, there is an ordinal  $\alpha$  such that  $\text{rad}^\alpha(E(V)) = 0$  for each simple module  $V$ . This holds iff each  $\text{rad}^\beta(E(V))$  has a maximal submodule, or is zero (Theorem 2). It follows that  $R$  is right max iff every nonzero (subdirectly irreducible) quasi-injective right  $R$ -module has a maximal submodule (Theorem 3.3). We characterize a right max ring  $R$  via the endomorphism ring  $\Lambda$  of any injective cogenerator  $E$  of  $\text{mod-}R$ ; namely,  $\Lambda/L$  has a minimal submodule for any left ideal  $L = \text{ann}_\Lambda M$  for a submodule (or subset)  $M \neq 0$  of  $E$  (Theorem 8.8). Then  $\Lambda/L_0$  has socle  $\neq 0$  for: (1) any finitely generated left ideal  $L_0 \neq \Lambda$ ; (2) each annihilator left ideal  $L_0 \neq \Lambda$ ; and (3) each proper left ideal  $L_0 = L + L'$ , where  $L = \text{ann}_\Lambda M$  as above (e.g. as in (2)) and  $L'$  finitely generated (Corollary 8.9A).

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### HAMSHER MODULES

A module  $M$  is a **Hamsher module** provided each submodule  $S \neq 0$  has a maximal submodule.<sup>1</sup>

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<sup>1</sup>Hamsher modules are called max modules by Shock [S].

**1. One-Module Theorem.** *A ring  $R$  is a right max ring iff  $R$  has a cogenerating right Hamsher module  $E$ . A n.a.s.c. for this is that the injective hull  $E(V)$  of each simple right  $R$ -module  $V$  is a Hamsher module.*

*Proof:* A module  $E$  cogenerates the category  $\text{mod-}R$  of all right  $R$ -modules iff for every module  $M \neq 0$ , there is a nonzero map  $h : M \rightarrow E$  ([F1, pp. 91, 148 & 165]). Then  $h(M) = M'$  is a nonzero submodule of  $E$ . Thus, when  $E$  is a Hamsher module, then  $M'$  has a maximal submodule  $M''$ , so  $h^{-1}(M'')$  is a maximal submodule of  $M$ .

This proves the first statement in Theorem 1. Next let  $E = \bigoplus E(V)$ , as  $V$  range over all simple  $R$ -modules. Then  $E$  is a cogenerator module for  $\text{mod-}R$  ([F1, p. 167, prop. 3.55]). Let  $P_V$  be the projection  $E \rightarrow E(V)$ . Then, in the above,  $0 \neq M' = h(M) \subseteq E$  implies  $0 \neq P_V h(M) = M_V \subseteq E(V)$  is a nonzero submodule of  $E(V)$  for some  $V$ , and so  $M$  has a maximal submodule, as before, whenever  $E(V)$  is a Hamsher module for all  $V$ . ■

**Note.**  $E(V)$  is direct summand of any cogenerator  $E$  of  $\text{mod-}R$ , hence the Hamsher condition on  $E(V)$  is a consequence of that on  $E$  in Theorem 1. Moreover, this is sufficient for  $E$  to be Hamsher.

**1.1. Corollary.** *If  $R$  is a ring such that each simple module  $V$  has Noetherian injective hull  $E(V)$ , then  $R$  is a right max ring.*

To illustrate when  $E(V)$  is not only Noetherian, but simple we will cite a theorem of Kaplansky, but first we recall some terminology:

$R$  is **right  $V$ -ring** in case  $R$  has the equivalent properties. (See [F1, p. 356, 7.32A].)

- (V1) Every simple right  $R$ -module  $V$  is injective, that is,  $E(V)$  is simple.
- (V2)  $\text{rad } M = 0$  for each right  $R$ -module  $M$ .
- (V3) Every right ideal  $I \neq R$  is the intersection of maximal right ideals, that is,  $\text{rad}(R/I)_R = 0$ .

**Note.** A right  $V$ -ring is a right max ring since  $\text{rad } M \neq M$  for every  $M \neq 0$ .

**Kaplansky's Theorem.** <sup>2</sup> *A commutative ring  $R$  is a  $V$ -ring iff  $R$  is Von Neuman regular (=  $VNR$ ).*

<sup>2</sup>According to my inquiry of Professor Kaplansky, "It worked its way into the public domain" (Letter of October 12, 1994).

Let  $J = \text{rad } R$ . Then  $J$  is **left vanishing** (=  $T$ -nilpotent in [B], [H]) if for every sequence  $\{a_n\}_{n=1}^\infty$  of elements of  $A$ , there is an  $n \geq 1$  so that  $a_n \cdots a_1 = 0$ , that is the left-hand partial product  $a_n \cdots a_1$  vanishes.

**First Max Theorem** ([H], [K]). *A commutative ring  $R$  is a max ring iff  $R/J$  is VNR and  $J = \text{rad } R$  is vanishing.*

Expressed otherwise:  $R$  is a max ring iff  $R/J$  is a  $V$ -ring, and  $J$  is vanishing. The **radical series**  $\text{rad}^\alpha(M)$  is defined inductively for each ordinal  $\alpha$  in the usual way, where  $\text{rad}(M)$  is the intersection of all maximal submodules of  $M$ ,  $\text{rad}^{\alpha+1}(M) = \text{rad}(\text{rad}^\alpha(M))$  for any ordinal, and

$$\text{rad}^\beta(M) = \bigcap_{\alpha \in \beta} \text{rad}^\alpha(M)$$

for each limit ordinal  $\beta$ .

**Second Max Theorem** ([H], [K]). *A ring  $R$  is right max iff  $R/J$  is right max and  $J$  is left vanishing.*

We next show that the modules in the radical series are test submodules for a Hamsher module.

**2. Theorem.** <sup>3</sup> *The f.a.e.c.'s on a right  $R$ -module  $M$ .*

- (1)  $M$  is Hamsher.
- (2)  $\text{rad}^\beta(M)$  has a maximal submodule, or is 0, for every ordinal  $\beta$ .
- (3)  $\text{rad}^\alpha(M) = 0$  for some  $\alpha$ .

*Proof:* (1)  $\Rightarrow$  (2) is obvious, and (2)  $\Rightarrow$  (3) follows by cardinal number theory for any  $\alpha$  of cardinal greater than that of  $R$ . (3)  $\Rightarrow$  (1). If  $S \neq 0$  is a submodule of  $M$ , then  $S \not\subseteq \text{rad}^\lambda(M)$  for least ordinal  $\lambda < \alpha$ , and obviously  $\lambda$  is not a limit ordinal, so  $S \subseteq \text{rad}^{\lambda-1}(M)$ . If  $S = \text{rad}^{\lambda-1} M$ , then  $S$  has a maximal submodule since  $\text{rad } S = \text{rad}^\lambda(M) \neq S$ . And if  $S \neq \text{rad}^{\lambda-1}(M)$ , then  $S$  is not contained in a maximal submodule  $M'$  of  $\text{rad}^{\lambda-1}(M)$ , hence  $S \cap M'$  is a maximal submodule of  $S$ . This proves that  $M$  is a Hamsher module. ■

**3.1. Corollary.** *Let  $E$  be a right cogenerator module for  $R$ . The  $R$  is right max iff  $E$  has transfinite nilpotent radical. A n.a.s.c. for*

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<sup>3</sup>The equivalence (1)  $\Leftrightarrow$  (3) is a theorem of Shock [S] who also proved that every semi-Artinian Hamsher module is Noetherian.

this is that  $E(V)$  have transfinite nilpotent radical for each simple right  $R$ -module  $V$ .

**3.2. Lemma.** *If  $M$  is a quasi-injective right  $R$ -module, then so is every fully invariant submodule, in particular, so is  $\text{rad}^\alpha(M)$ , for each ordinal  $\alpha$ .*

*Proof:* A theorem of Wong-Johnson ([**W-J**]) characterizes a quasi-injective module as the fully invariant submodules of their injective hulls (see, e.g. [**F2**, p. 63, Prop. 19.2]). For example, if  $E = E(M)$  has endomorphism  $\Lambda$ , then  $M$  is quasi-injective iff  $\lambda(M) \subseteq M \forall \lambda \in \Lambda$ . Now let  $M_0$  be a fully invariant submodule of  $M$ . Since  $E_0 = E(M) \subseteq E$ , and since  $E$  is injective, then every element  $\lambda_0 \in \Lambda_0 = \text{End } E_0$  is induced by an element  $\lambda \in \Lambda$ . Since  $\lambda$  induces an endomorphism  $\bar{\lambda}$  in  $M$ , and since  $\bar{\lambda}(M_0) \subseteq M_0$  by the hypothesis that  $M_0$  is fully invariant in  $M$ , then  $\lambda_0(M_0) \subseteq M_0$  for each  $\lambda_0 \in \Lambda_0$ , that is,  $M_0$  is fully invariant in  $E(M_0)$ , hence is quasi-injective.

It follows that  $\text{rad}^{\alpha+1}(M)$  is quasi-injective for all  $\alpha$ , since  $\text{rad}^{\alpha+1}(M)$  is fully invariant in  $\text{rad}^\alpha(M)$  which by an inductive hypothesis may be assumed to be quasi-injective. Furthermore,  $\text{rad}^\beta(M)$  is fully invariant hence quasi-injective for each limit ordinal  $\beta$ , since it is the intersection of fully invariant submodules of  $M$ . ■

**3.3. Theorem.** *For a ring  $R$ , the f.a.e.c.'s:*

- (1)  $R$  is right max.
- (2) Every nonzero quasi-injective module has a maximal submodule.
- (3) Every nonzero subdirectly irreducible quasi-injective module has a maximal submodule.

*Proof:* (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) is trivial, and (3)  $\Rightarrow$  (1) is an immediate consequence of Theorem 2, Corollary 3.1 and Lemma 3.2. ■

**4. Corollary.** *If a right  $R$  module  $M$  is faithful and has transfinite nilpotent radical, then  $R$  has transfinite nilpotent radical  $J$ .*

*Proof:* One shows inductively that  $\text{rad}^\alpha(M) \supseteq MJ^\alpha$ , where  $J = \text{rad } R$ . ■

**Note.** Let  $R$  be a commutative Noetherian ring. Then  $J^\omega = 0$  by the Krull intersection Theorem and if  $R$  is a domain, then  $I^\omega = 0$  for any ideal  $I \neq R$  ([**Z-S**, p. 216, Theorem 12 and Corollary]). Thus,  $J$  is transfinite but not  $T$ -nilpotent when  $R$  is e.g., a Noetherian local domain not a field.

**LOEWY SERIES  
AND TRANSFINITE SEMISIMPLE MODULES**

A **descending** or **dual Loewy series** for a module  $M$  is descending chain  $\{M_\alpha\}_{\alpha \in \Lambda}$  of submodules indexed by an ordinal  $\Lambda$  such that  $M_0 = M$ , and  $M_\alpha/M_{\alpha+1}$  is semisimple

$$M_\beta = \bigcap_{\alpha \in \beta} M_\alpha$$

for any limit ordinal  $\beta \in \Lambda$ . We say that  $M$  is **transfinitely semisimple** if there is a descending Loewy series  $\{M_\alpha\}$  with  $M_\alpha = 0$  for some  $\alpha \in \Lambda$ .

**5. Theorem.** *Any transfinitely semisimple module  $M$  is a Hamsher module.*

*Proof:* By transfinite induction,

$$M_\alpha \supseteq \text{rad}^\alpha(M)$$

for each  $M_\alpha$  as defined above, hence  $\text{rad}^\alpha(M) = 0$  for some ordinal  $\alpha$ , and Theorem 1 applies:  $M$  is Hamsher module. ■

By Theorem 1, we also have the following:

**5.1. Corollary.** *If  $E(V)$  is transfinite semisimple for each simple right  $R$ -module  $V$ , then  $R$  is right max.*

**BASS MODULES**

Recall that a module  $M$  is a **Bass module** ([F2]) if every submodule  $M' \neq M$  is contained in a maximal submodule of  $M$ .

**6. Theorem.** *Let  $E$  be an quasi-injective right  $R$ -module that contains a copy of each simple image of  $E$  and  $\Lambda = \text{End } E_R$ . If  $E$  is a Bass module, then  $\Lambda$  has essential left socle,  $\text{soc}_\ell \Lambda$ .*

*Proof:* By the Harada-Ishii ([H-I]) double annihilator condition (= DAC) for a quasi-injective modules,

$$\text{ann}_\Lambda \text{ann}_E I = I$$

for finitely generated left ideals of  $\Lambda$ , one can show that each such  $I \neq 0$  contains a minimal left ideal  $L$ . For if  $E'$  is a maximal submodule, containing  $\text{ann}_E I$  the fact  $V = E/E' \hookrightarrow E$  yields  $\lambda \in \Lambda$  such that  $\lambda E \approx V$ , hence  $L = \Lambda\lambda$  is a minimal left ideal contained in  $I$ . Thus,  $\text{soc}_\ell \Lambda$  is an essential left ideal of  $\Lambda$ . ■

In the next corollary, we see what happens to  $\Lambda$  when  $E$  is Noetherian.

**6.1. Corollary.** *If  $E$  is a Noetherian quasi-injective right module over  $R$ , then  $\Lambda = \text{End } E_R$  is a right perfect ring, hence a right max ring.*

*Proof:* By the Harada-Ishii *DAC* cited in the proof of Theorem 6,  $E_R$  Noetherian implies that  $\Lambda$  satisfies the *DAC* on finitely generated left ideals, hence  $\Lambda$  is right perfect ([B]). ■

### DOUBLE ANNIHILATOR CONDITIONS FOR COGENERATORS

It is known that any cogenerator  $F$  satisfies the double annihilator conditions (*DAC*)

$$I = \text{ann}_R \text{ann}_F I$$

(see, e.g. [F1]). We next prove another *DAC* for  $F$ .

**7. Dac Theorem.** <sup>4</sup> *If  $F$  is any right cogenerator of  $R$ , and  $I$  and  $M$  are submodules of  $R_R$  and  $F_R$  respectively, then they satisfy the *DAC*'s:*

- (a)  $I = \text{ann}_R \text{ann}_F I$   
 (b)  $M = \text{ann}_F \text{ann}_\Omega M$

where  $\Omega = \text{End } F_R$ .

*Proof:*

- (1) Since  $F$  is a cogenerator then  $R/I \hookrightarrow F^\alpha$  for some cardinal  $\alpha$ , and if  $(x_i)$  is the image in  $F$  of the coset  $1 + I$  in  $R/I$ , one sees that

$$I = \text{ann}_R \{x_i\},$$

so (a) follows.

- (2)  $F/M$  embeds in a direct product  $F^\alpha$  of copies of  $F$ , and hence there is a map  $h : F \rightarrow F^\alpha$  that has  $\ker h = M$ . Then, if  $p_\alpha : F^\alpha \rightarrow F$  is the  $\alpha$ -th projection, it follows that  $\omega_\alpha = p_\alpha \circ h \in \Omega$  and that

- (3) 
$$M = \bigcap_\alpha \ker \omega_\alpha.$$

Then,

- (4) 
$$M = \text{ann}_F L,$$

where  $L = \sum_\alpha \Omega \omega_\alpha$ .

Since (4)  $\implies$  (b), the proof is complete. ■

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<sup>4</sup>After this was written, I found Kurata's report [Ku] where (b) is stated without proof in greater generality.

### INJECTIVE COGENERATORS

If any cogenerator of  $\text{mod-}R$  is a Hamsher module, then  $R$  is a right max ring. In this section we list two conditions on a minimal injective cogenerator  $E$  that are each necessary and sufficient in order that  $R$  be a right  $V$ -ring: (1)  $\text{rad } E = 0$ . (Theorem 8.1) and (2)  $E_R$  is a Bass module, and  $\Lambda = \text{End } E_R$  has zero Jacobson radical (Theorem 8.2).

**8.1. Theorem.** *Let  $E$  be a minimal injective cogenerator of  $R$ , and  $W$  the direct sum of a complete set of non-isomorphic simple right  $R$ -modules. (Thus,  $E$  is the injective hull of  $W$ , and  $W$  is the socle of  $E$ .) Then, the f.a.e.c.'s:*

- (1)  $R$  is a right  $V$ -ring.
- (2)  $\text{rad } E = 0$ .

*Proof:* (1)  $\Rightarrow$  (2). As stated, (1)  $\Leftrightarrow \text{rad } M = 0$  for every right  $R$ -module  $M$ .

(2)  $\Rightarrow$  (1). If  $V$  is a simple submodule of  $E$ , then (2) implies that there exists a maximal submodule  $M$  of  $E$  not containing  $V$ . Then since  $V \cap M = 0$ , and  $V + M \supset M$ , we see that  $E = V \oplus M$ , so  $V$  is injective. Since every simple right  $R$ -module embeds in  $E$ , then  $R$  is a right  $V$ -ring. ■

**8.2. Theorem.** *If the right minimal injective cogenerator  $E$  of a ring  $R$  is a Bass Module, and if  $\Lambda = \text{End } E_R$  has zero Jacobson radical, then  $R$  is a right  $V$ -ring (and  $E$  is semisimple).*

*Proof:* Let  $W = \text{soc } E$ , the sum of all simple module, one for each isomorphy class. If  $W = E$ , then every submodule of  $E$  is a direct summand, hence is injective, so  $R$  is right  $V$ -ring. We may therefore assume that  $E \neq W$ , and hence by our Bass module assumption that there is a maximal submodule  $M$  of  $E$  that contains  $W$ . Since  $V = E/M \hookrightarrow W$ , there is an endomorphism  $\lambda$  of  $E$  such that  $\ker \lambda = M$ . Since  $M$  is an essential submodule of  $E$ , then  $\lambda \in J = J(\Lambda)$  by a theorem of Utumi (e.g. [F2, p. 76, Theorem 19.27(a)]) contradicting the  $J = 0$  assumption, and completing the proof. ■

**8.3. Proposition.** *If  $S$  is any semisimple right  $R$ -module with injective hull  $E = E(S)$ , then the endomorphism ring  $\Lambda$  has radical*

$$(1) \quad J(\Lambda) = \{\lambda \in \Lambda \mid \ker \lambda \supseteq S\},$$

and moreover,

$$(2) \quad J(\Lambda) = \text{ann}_\Lambda S.$$

Furthermore,

$$(3) \quad \bar{\Lambda} = \Lambda/J(\Lambda) = \text{End } S_R$$

is a full product  $= \prod_{i \in A} L_i$  of full linear rings, where  $L_i = \text{End } W_{D_i}$ , and  $W_i$  is a vector space over a sfield  $D_i$ ,  $\forall i \in A$ .

*Proof:* By Utumi's theorem cited above (proof of 8.2), (2) has the description (1) above. Since a submodule  $M$  of  $E = E(S)$  is essential iff  $M \supseteq S$ , this shows that (2) holds. Furthermore since  $E$  is injective, any element of  $\text{End } S_R$  is induced by some  $\lambda \in \Lambda$ , so (2)  $\Rightarrow$  (3). Finally,  $\bar{\Lambda}$  is a product as described by classical ring theory. ■

**8.4. Corollary.** *If  $E$  is a minimal injective cogenerator of  $\text{mod-}R$ , and  $\Lambda = \text{End } E_R$ , then  $\bar{\Lambda} = \Lambda/J(\Lambda)$  is product  $\prod_{i \in A} D_i$  of sfields  $D_i = \text{End}(V_i)_R$ , one for each isomorphism class  $[V_i]$  of simple modules. Consequently,  $\bar{\Lambda}$  is a  $V$ -ring.*

*Proof:* Follows from 8.3.  $\bar{\Lambda}$  is thus abelian  $VNR$  (=strongly regular), hence is a right and left  $V$ -ring. ■

**8.5. Corollary.** *If (in Theorem 8.3)  $E$  is a minimal injective cogenerator, then  $E = E(S)$ , where  $S = \oplus V_i$ , exactly one simple module  $V_i$  of each isomorphism class, and*

$$\bar{\Lambda} = \Lambda/J(\Lambda) = \prod_{i \in A} D_i$$

where  $D_i = \text{End } V_i$ , one for each  $V_i$ .

Furthermore,  $\bar{\Lambda}$  is a right and left  $V$ -ring. Finally,  $\Lambda$  is a right (left) max ring iff  $J(\Lambda)$  is left (right) vanishing. Moreover,  $\Lambda$  is right max iff  $E_R$  satisfies the acc on kernels of finite products  $\{j_n \cdots j_2 j_1\}$  of elements of  $J(\Lambda)$ .

*Proof:* Follows from Corollary 8.4, the Harada-Ishii theorem, and the Second Max Theorem. ■



**8.6. Corollary.** *If the minimal injective cogenerator  $E$  of  $\text{mod-}R$  satisfies the acc on essential submodules (equivalently,  $E/\text{soc } E$  is Noetherian), then  $\Lambda = \text{End } E_R$  is a right max ring.*

*Proof:* Since  $\Lambda/J(\Lambda)$  is a  $V$ -ring (both sides) hence a max ring, then by Hamsher’s theorem,  $\Lambda$  is right max iff  $J(\Lambda)$  is left vanishing. But this follows from Corollary 8.5 and the Harada-Ishi Theorem as in the proof of Theorem 6. (Since  $\text{soc } E$  is the intersection of all essential submodule by a theorem of Kasch-Sandomierski, the parenthetical equivalence holds.) ■

**Remark 8.6A.** The condition of Corollary 8.6 implies that  $E(V)$  is Noetherian for any simple module  $V$ , and by Corollary 1.1, this is also a sufficient condition for  $R$  to be right max.

**8.7. Theorem** (Partial Converse of Theorem 6). *If  $E$  is an injective cogenerator for  $\text{mod-}R$ , and if  $\Lambda = \text{End } E_R$  has essential left socle then  $E$  is a Bass module.*

*Proof:* The proof is a straightforward application of the Harada-Ishii theorem. For if  $M$  is a proper submodule of  $E$ , the fact that  $E$  is an injective cogenerator yields  $\text{hom}(E/M, E) \neq 0$ , hence some  $\lambda \in \Lambda$  with  $\ker \lambda \supseteq M$ . Then, if  $\Lambda\lambda_0$  is a minimal left ideal of  $\Lambda$  contained in  $\Lambda\lambda$ , by the Harada-Ishii theorem,  $E_0 = \ker \lambda_0$  is a maximal submodule containing  $\ker \lambda$ , hence  $M$ . ■

In the proof of the next theorem, we let  $\ker L = \bigcap_{\lambda \in L} \ker \lambda$ .

**8.8. Theorem.** *For a ring  $R$ , right injective cogenerator  $E$ , and  $\Lambda = \text{End } E_R$  the f.a.e.c.’s:*

- (1)  $R$  is right max.
- (2)  $E$  is a Hamsher module.
- (3)  $\Lambda/L$  has nonzero socle for any left ideal  $L = \text{ann}_\Lambda M$ , where  $M$  is a nonzero submodule of  $E$ .

*Proof:* (1)  $\Leftrightarrow$  (2) by Theorem 1. (2)  $\Rightarrow$  (3). By the DAC Theorem 6.2, if  $L = \text{ann}_\Lambda M$ , then  $M = \ker L$ , hence, since  $E$  is Hamsher module,  $M$  has a maximal submodule  $M_0$ . Since  $\text{hom}_R(M/M_0, E) \neq 0$  and  $E$  is injective, then there exists  $\lambda_0 \in \Lambda$  such that  $\lambda_0 M_0 = 0$  and  $\lambda_0 M \neq 0$ . Moreover, if  $L_0 = \text{ann}_\Lambda M_0$ , then by the DAC Theorem 7,  $\text{ann}_E L_0 = M_0$ , and since  $M \cap (\ker \lambda_0) = M_0$ , then:

$$\text{ann}_E(L + \Lambda\lambda_0) = (\ker L) \cap (\ker \lambda_0) = M \cap (\ker \lambda_0) = M_0 = \text{ann}_E L_0. \quad \blacksquare$$

By the Harada-Ishii theorem,  $L + \Lambda\lambda_0$  satisfies the *DAC*, hence

$$L + \Lambda\lambda_0 = \text{ann}_\Lambda \text{ann}_E(L + \Lambda\lambda_0) = \text{ann}_\Lambda M_0 = L_0.$$

Moreover, the same argument shows that

$$L_0 = L + \Lambda\lambda' \quad \text{for all } \lambda' \in L_0 \setminus (L)$$

that is, necessarily  $\text{ann}_E(L + \Lambda\lambda') = M_0$  so  $L + \Lambda\lambda' = \text{ann}_\Lambda M_0 = L$ . Thus  $L_0 \setminus L$  is a minimal submodule of  $\Lambda \setminus L$ , so (2)  $\Rightarrow$  (3).

(3)  $\Rightarrow$  (2). Let  $L = \text{ann}_\Lambda M$ . Then, by the *DAC* Theorem 6.2,  $M = \text{ann}_E L$ . Let  $L_0/L$  be a minimal submodule of  $\Lambda/L$ , and let  $M_0 = \text{ann}_E L_0$ . Since  $L_0 = L + \Lambda\lambda$  for any  $\lambda \in L_0 \setminus L$ , then by the Harada-Ishii *DAC*, necessarily  $L_0 = \text{ann}_\Lambda M_0$ . If  $M' \neq M$  is a submodule of  $M$  containing  $M_0$ , then by simplicity of  $L_0/L$ , necessarily  $\text{ann}_\Lambda M' = L_0$  whence by the *DAC* Theorem 6.2,

$$M' = \text{ann}_E \text{ann}_\Lambda M' = \text{ann}_E L_0 = M_0$$

so  $M_0$  is a maximal submodule of  $M$ . Thus, (3)  $\Rightarrow$  (2). ■

**8.9A. Corollary.** *If  $R$  is right max,  $E$  an injective cogenerator, and  $\Lambda = \text{End } E_R$ , then  $\Lambda/I$  has nonzero socle for each proper left ideal  $I$  of the (3) types:*

- (0)  $L_0$  finitely generated left ideal of  $\Lambda$ .
- (1)  $L_1$  an annihilator left ideal of  $\Lambda$ .
- (2)  $L_2 = L + L_0$ , where  $L_0$  is finitely generated and  $L = \text{ann}_\Lambda M$  for a submodule  $M$  of  $E$ .

In particular,  $L_1 = \text{ann}_\Lambda M_1$ , where  $M_1 = L_1^\perp E$ , so  $L$  can have the form  $L_1$  in (2).

*Proof:* By the Harada-Ishii *DAC*, any left ideal  $L_2$  of the form (2) satisfies the *DAC*, hence  $L_2 = \text{ann}_\Lambda M_2$ , where  $M_2 = \text{ann}_\Lambda L_2 = \ker L_2$ , so Theorem 8.8 applies.

Furthermore, if  $L_1$  is the left annihilator  ${}^\perp X$  in  $\Lambda$  of a subset  $X$  of  $\Lambda$ , then  $L_1 = {}^\perp (L_1^\perp)$  so

$$L_1 = \text{ann}_\Lambda ({}^\perp L_1 E)$$

is the annihilator of an  $R$ -submodule of  $E$ . ■

**8.9B. Corollary.** *If  $E$  is an injective cogenerator of  $\text{mod-}R$  with left Loewy (equivalently, left semiartinian) endomorphism ring  $\Lambda$ , then  $R$  is right max and  $\Lambda$  is right perfect. Moreover,  $R$  has just finitely many simple right modules.*

*Proof:* If  $\Lambda$  is left Loewy, then  $\Lambda/L$  has nonzero socle for all left ideals  $L \neq \Lambda$ , so Theorem 8.8 applies to establish that  $R$  is right max. Since  $\bar{\Lambda} = \Lambda/J(\Lambda)$  is also left Loewy and right self-injective (see, e.g. (3) of Prop. 8.3), then  $\bar{\Lambda}$  is semisimple Artinian and  $J = J(\Lambda)$  is left vanishing, hence  $\Lambda$  is right perfect. (See, for example, the discussion in [C-P, esp. Lemma 1 and the proof of Proposition 2].) Furthermore, since  $\bar{\Lambda}$  is semisimple and isomorphic to the endomorphism ring of the socle  $S$  of  $E$  (see the proof of 8.3), then  $S$  has finite length. This shows that the isomorphism set of simple right  $R$ -modules is finite. ■

**8.10. Corollary.** *If  $E$  is an injective cogenerator of  $\text{mod-}R$ , and  $\Lambda = \text{End } E_R$ , then  $R$  is right max iff  $J = \text{rad } R$  left vanishing, and  $\Lambda/L$  has nonzero left socle for any left ideal  $L = \text{ann}_\Lambda M$ , where  $M$  is a nonzero  $R$ -submodule of  $E$  annihilated by  $J$ .*

*Proof:* One knows that  $F = \text{ann}_E J$  is an injective cogenerator of  $\text{mod-}R/J$  ( $F$  is injective as an  $R/J$ -module and contains a copy of each simple  $R$ -module). Moreover,  $F$  is a fully invariant  $R$ -submodule of  $E$ , hence, by injectivity of  $E$ ,

$$\bar{\Lambda} = \Lambda / \text{ann}_\Lambda F \approx \text{End } F_R.$$

The corollary now follows from Hamsher’s Second Theorem and Theorem 8.8. ■

**8.11. Example.** Let  $M$  be any bimodule over a right max ring  $A$ . Then the split-null or trivial extension  $R = (A, M)$  is a right max ring.

*Proof:* Let  $J(A)$  be the (left vanishing) radical of  $A$ . Then  $J(R) = (J(A), M)$  and

$$R/J(R) \approx A/J(A)$$

is a right max ring, so  $R$  is right max iff  $J(R)$  is left vanishing. But

$$J(R)/(0, M) \approx J(A)$$

is left vanishing and  $(0, M)^2 = 0$ , and then an easy computation shows that  $J(R)$  is left vanishing. ■

### REMARKS ON THE LITERATURE

A module  $M$  is quotient finite dimensional (= *q.f.d.*) provided that all factor modules have finite Goldie dimension, i.e., contain no infinite direct sums. Generalizing a theorem of Shock [S], Camillo [C1] proved that an  $R$ -module  $M$  is q.f.d. iff every submodule  $N$  contains a finitely generated submodule  $K$  with  $N/K$  having no maximal submodules. This implies that a q.f.d. module  $M$  is Noetherian iff every factor module  $M/K$  is Hamsher. Since linearly compact modules are q.f.d., then by duality theory [M] one shows that a Morita ring  $R$  (=  $R$  has a Morita duality) is right max iff left Loewy (= semi-Artinian and iff  $R$  is right and left Artinian).

Results of Camillo and Fuller [C-F1], [C-F2] and Nastasescu and Popescu [N-P] are germane here: A left Loewy ring  $R$  of finite Loewy length is right max ([C-F1], [N-P]). More generally, any left Loewy ring with acc on primitive ideals is right max ([C-F2]). The example of a right but not left  $V$ -ring  $R$  of the author's in [F4] is a  $VNR$  of left Loewy length 2 hence left max.

As an application of Theorem 1, we prove in [F3] that for a commutative ring  $R$  that the f.e.c.'s : (1)  $R$  is locally a perfect ring (=  $R_m$  is perfect at each maximal ideal  $m$ ); (2)  $R_m$  is a max ring for each maximal ideal  $m$ ; (3)  $R$  is a max ring.

### QUESTIONS

(1) If  $\Lambda = \text{End } E_R$  is a right max ring, for a minimal injective cogenerator  $E$  of  $\text{mod-}R$ , is  $R$  right max?

(2) If  $R$  is right max, is  $\Lambda$ ?

In [C2], Camillo proves that a right max right and left  $PID$   $R$  is simple, and that given two maximal right ideals,  $pR$  and  $qR$ , either  $R/pqR$  or  $R/qpR$  is semisimple.

(3) Characterize when a  $PID$  ring  $R$  is right (or left) max. It is of course if  $R/aR$  (or  $R/Ra$ ) is semisimple for any  $0 \neq a \in R$ . (See [C2].)

(4) (Hamsher [H]) When is a full linear ring right or left max? (Regarding the corresponding question for  $V$ -rings, see Osofsky [O].)

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